



**PATENT  
CASE 962P101**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**IN RE**

**APPLICATION OF: Michael Greenhill**

**SERIAL NO. 10/738,470**

FILED: December 17, 2003

## **FOR: RETAINING RING INSTALLATION TOOL**

**EXAMINER:**

**ART UNIT: 3723**

**ATTORNEY DOCKET NO.: 962P101**

Thomas D. Paulius

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

## II. SUBMISSION OF INFORMATION DISCLOSURE STATEMENT

Applicants hereby submit an Information Disclosure Statement identifying art which may be relevant to a determination of patentability of the present invention. This Statement is submitted before the issuance of an Office Action and there is no fee applicable.

The art cited includes the following:

## I. U.S. PATENTS

1. U.S. Patent No. 892,287 (MORSE)
2. U.S. Patent No. 1,363,522 (LEITCH)
3. U.S. Patent No. 1,430,702 (TROUTMAN)
4. U.S. Patent No. 1,506,767 (MCLEOD)
5. U.S. Patent No. 2,422,549 (HOGIN)

6. U.S. Patent No. 2,657,818 (MUELLER)
7. U.S. Patent No. 2,961,755 (PRINCE)
8. U.S. Patent No. 2,968,864 (LEE)
9. U.S. Patent No. 3,030,700 (JENSEN)
10. U.S. Patent No. 3,158,923 (REINSMA)
11. U.S. Patent No. 3,347,083 (TURPIN et al.)
12. U.S. Patent No. 3,581,379 (DROBILITIS)
13. U.S. Patent No. 3,605,239 (ESCHHOLZ)
14. U.S. Patent No. 4,203,191 (GIBSON SR.)
15. U.S. Patent No. 4,212,096 (SAITO et al.)
16. U.S. Patent No. 4,610,834 (BARON et al.)
17. U.S. Patent No. 4,703,548 (KUMETH)
18. U.S. Patent No. 4,953,276 (KUSMER et al.)
19. U.S. Patent No. 5,387,389 (CATALANOTTI et al.)
20. U.S. Patent No. 5,540,582 (CATALANOTTI et al.)
21. U.S. Design Patent No. Des. 356,320 (CATALANOTTI et al.)

**II. PUBLICATIONS**

22. "Roehr CAV-XP 2000 Expandable Cavity System", CUTTING TECHNOLOGY, July/August 2002, pages 1-9. This article shows the tools disclosed in Items 19-21 above.

It is believed that none of this prior art discloses or suggest the invention claimed in the pending claims. This submission is not to be considered as an admission that the information cited in this Statement is, or is considered to be material to patentability nor is it a representation that a search has been made on the claimed subject matter.

Respectfully submitted,

Date: May 12, 2004

By: Thomas D. Paulius  
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INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet ONE of THREE

## Complete if Known

Application Number	10/738,470
Filing Date	December 17, 2003
First Named Inventor	Michael Greenhill
Art Unit	3723
Examiner Name	

Attorney Docket Number 962P101

## U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 892,287	06-30-1908	MORSE	
		US- 1,363,522	12-28-1920	LEITCH	
		US- 1,430,702	10-03-1922	TROUTMAN	
		US- 1,506,767	09-02-1924	McLEOD	
		US- 2,422,549	06-17-1947	HOGIN	
		US- 2,657,818	11-03-1953	MUELLER	
		US- 2,961,755	11-29-1960	PRINCE	
		US- 2,968,864	01-24-1961	LEE	
		US- 3,030,700	04-24-1962	JENSEN	
		US- 3,158,923	12-01-1964	REINSMA	
		US- 3,347,083	10-17-1967	TURPIN et al.	
		US- 3,581,379	06-01-1971	DROBILITS	
		US- 3,605,239	09-20-1971	ESCHHOLZ	
		US- 4,203,191	05-20-1980	GIBSON Sr.	
		US- 4,212,096	07-15-1980	SAITO et al.	
		US- 4,610,834	09-09-1986	BARON et al.	
		US- 4,703,548	11-03-1987	KUMETH	
		US- 4,953,276	09-04-1990	KUSMER et al.	
		US- 5,387,389	02-07-1995	CATALANOTTI et al.	

## FOREIGN PATENT DOCUMENTS

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		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

Examiner Signature		Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

**(Use as many sheets as necessary)**

Sheet TWO of THREE

**Complete if Known**

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First Named Inventor	Michael Greenhill
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<b>Sheet</b>	THREE	of	THREE	
			Attorney Docket Number	962P101

## NON PATENT LITERATURE DOCUMENTS

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